

Representative Registration Form

Please complete this form to register for online access to Morrison Securities Pty Ltd (Morrison). Please use black ink.

1. Representative information

Please select your role(s) and number in order of primary to secondary roles (i.e. 1-5)

Financial Adviser (if Corporate Adviser, name of entity)

Accountant Administrator Stockbroker Paraplanner Support staff

Family name

Given names

Date of birth

Business name (Trading Name/Details of Corporate Authorised Representative)

Branch code (if known)

Advisor code (if known)

Street address

Postal address (if different from street address)

Email address

Phone (W)

Phone (M)

Fax

2. Company information

Company/Licensee/Corporate Authorised Representative name

Branch code (if known)

Does your company hold an Australian Financial Services Licence (AFSL) number?

No.

Yes.

Morrison Securities Pty Limited

Level 7, 7-15 Macquarie Place,
Sydney NSW 2000
www.morrisonsecurities.com



ABN 50 001 430 342
AFSL 241737

3. Licensee details

Licensee Name (if different to Section 2 above)

AFSL number

Street address

Postal address (if different from street address)

Main contact email

4. Product selection (Prices are exclusive of GST)

Software:

		Please tick
IRESS Pro (NetIRESS)	\$807	<input type="checkbox"/>
IRESS Viewpoint	\$250	<input type="checkbox"/>
IRESS Trader (WebiRESS)	\$135	<input type="checkbox"/>
IRESS Mobile	\$50	<input type="checkbox"/>
Trade Centre Adviser	\$300	<input type="checkbox"/>
Trade Centre Wealth	\$50	<input type="checkbox"/>
Bourse Analyster	\$80	<input type="checkbox"/>
Bourse Online	FREE	<input type="checkbox"/>
Bourse Mobile (FREE for Trade Centre Adviser, Trade Centre Wealth and Bourse Analysers subscribers)	\$20	<input type="checkbox"/>
TradeFloor	\$500	<input type="checkbox"/>

+

Data:

		Please tick
Mandatory Royalties		
ASX Market Point	\$90	<input type="checkbox"/>
ASX Reference Point	\$7	<input type="checkbox"/>
IRESS News Package	\$100	<input type="checkbox"/>
S&P Indices	\$7	<input type="checkbox"/>
Optional Royalties		
AAP Market News	\$50	<input type="checkbox"/>
ASX Company News	\$40	<input type="checkbox"/>
ASX24	\$90	<input type="checkbox"/>
Broker Consensus Estimates	\$75	<input type="checkbox"/>
Chi-X Market Data (Pro)	\$26	<input type="checkbox"/>
CME Futures	USD\$115.50	<input type="checkbox"/>
Dow Jones Asia Pacific News	\$50	<input type="checkbox"/>
Dow Jones Aust-NZ	\$50	<input type="checkbox"/>
Dow Jones International News	\$75	<input type="checkbox"/>
International Data Package (Trade Centre only) (US, European, Asian markets, Global Commods)	\$20	<input type="checkbox"/>

5. Access Level

Access				Qualification/Experience		
View Only		Trading		Equities	Derivatives ADA L1/L2	Other
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

6. Comments/Special instructions

7. Representative declaration and signature

This section is to be signed by the representative whose details have been provided in section 1 of this form.

By signing this section, I confirm that:

- I have read and agree to be bound by the conditions of use set out in this form.

I, _____, acknowledge and warrant that I:

Insert name of authorised person

1. Am of good fame and character and have not been charged or convicted of a criminal offence in the last 10 years;
2. Am not an undischarged bankrupt;
3. Have read and understood the online IRESS/Dion TradeCentre/Bourse Analyser (Morrison System) help manuals that set out how the Morrison System operates;
4. Have received and read a copy of the Dealing Rules (which contains relevant sections and chapters from the ASIC Market Integrity Rules (ASX) and the Operating Rules of ASX) and agree to be bound by those Rules in the Operation of the Morrison System;
5. Understand the Dealing Rules, directions, decisions and requirements of ASX with regards to my access to the Morrison System;
6. Will keep secure my online access at all times to prevent any unauthorised access to the Morrison System;
7. Will not place any orders on the Morrison System which would interfere with the efficiency and integrity of the ASX markets or the proper functioning of the ASX Trading Platform;
8. Have not previously had my Authorised Representative or Representative status withdrawn by any financial services licensee other than in the ordinary course of cancellation following resignation;
9. Have not been disqualified or banned by the Australian Securities and Investments Commission (ASIC) or any other regulatory body;
10. Have not been the subject of any ASIC or ASX investigation;
11. Have not been suspended or removed from membership, or refused membership of any securities exchange, futures exchange or futures association or otherwise disciplined by any such body;
12. Have not engaged in any conduct that would contravene section 7 of the Corporations Act including market manipulation, dissemination of misleading and deceptive statements, dishonest conduct, misleading and deceptive conduct or insider trading.

Representative Signature:

Representative Name:

Date:

8. AFS licensee approval

By signing this section, I confirm that I agree to the above named being granted access to the selected products and Morrison System as outlined in this document, and will be responsible for payment of all applicable charges. I agree to Morrison offsetting any such charges from fees due and payable by Morrison Securities Pty Ltd

Principal/Director Signature

Principal/Director Name:

Date

Internal Use – Morrison Approval

Proceed to grant of access Reject access

RE/RM Signature:

RE/RM Name:

Date

Morrison Securities Pty Limited

Web: www.morrisonsecurities.com

Ph: 02 9033 8383

Email: morrison.admin@morrisonsecurities.com



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